

# Retinal Ganglion Cell Dendritic Development and Its Control

*Filling the Gaps*

**Richard J. T. Wingate**

*Department of Developmental Neurobiology, Division of Anatomy and Cell Biology,  
UMDS, Guy's Hospital, London Bridge, London, SE1 9RT, UK*

## Abstract

The way in which central neurons acquire their complex and precise dendrite arbors is of considerable developmental interest. Using retinal ganglion cells (RGCs) as a model, the mechanisms that pattern dendritic development are beginning to emerge. As in other systems, final dendrite phenotype is achieved by a mixture of intrinsic and extrinsic determinants. The extrinsic determinants of RGC dendrite shape reflect the anatomical constraints of producing a paracrystalline mosaic of arbors that laminates the inner plexiform layer of the retina. In this article, the key features of RGC dendrite development are reviewed. The emerging molecular mechanisms behind dendritic laminar segregation and "dendritic competition" are described. The role of afferent extrinsic influences are contrasted with those of retrograde, activity-dependent target influences that may regulate the final maturational phase of dendrite remodeling.

**Index Entries:** Dendritic competition; inner plexiform layer; neurogenesis; TTX; NMDA; APV; APB; lucifer yellow.

## Introduction

The diversity of dendritic form is one of the most impressive hallmarks of the complexity of the brain. The startling differences between the basic dendrite branching geometries of different neuronal types hint at far more than just different solutions to the problem of efficiently occupying space. The tendency to think of dendrite arbors as passive collators of incoming information no longer holds true given recent advances in imaging techniques (1). Dendritic arbors actively process incoming signals (2,3),

their branch points potentially acting as switches for the flow of information (4). This revitalization of a complex functional role for dendrite architecture inevitably leads to the question of how its morphological precision arises.

Dendritic phenotype is established by a combination of intrinsic determinants acted on by extrinsic factors. The final form of an arbor is often the product of both a period of exuberant growth and a phase of remodeling in which its shape can be radically altered (5-7). Afferent inputs are an obvious potential influence on dendritic development (8,9), although syn-

aptic activity *per se* may only regulate specific elements of maturation (10). A clear role for retrograde influences of axonal targets on global dendritic properties has been described in the peripheral nervous system (PNS) (11). By contrast, the involvement of target-derived factors in the dendrite development of central neurons is still relatively unexplored. In order to understand the basis of these various interactions, many studies have focused on the retina, a relatively accessible sheet of central neuronal circuitry, which has a single class of output neuron, the retinal ganglion cell (RGC).

## RGCs as a Model System

RGCs are an excellent model for the study of dendrite development. Their dendrites are planar and within each retina there are several parallel classes of RGC, each with distinct dendritic morphologies and functional characteristics (Fig. 1; 12–14). Since the development of RGC axons has been intensively investigated, it is also possible to consider their dendritic development in the context of not only retinal interactions, but also events at their axonal terminals.

### *Intrinsic Determinants:*

#### *Formation of Dendritic Classes*

Ganglion cells are the first retinal neurons to be born (15). Within the period of their neurogenesis, tritiated thymidine studies have revealed that different classes of RGCs (distinguished by their soma size) have distinct ranges of overlapping birthdates (carnivore, 16,17; rodent, 18). Lineage analysis (19–22) and *in vitro* assays (23–26) suggest that this generalized relationship between cell class and birthdate within the retina reflects a changing microenvironment of locally acting molecular cues. Of these, FGF-1 in particular potentiates ganglion cell differentiation (26). Dissociated ganglion cells can develop dendritic arbors that resemble their *in vivo* stereotyped forms (27,28).

The development of ganglion cell dendritic trees follows a characteristic pattern in a variety of different mammals (29). Each arbor undergoes phases of growth and refinement (Fig. 2; 30) such that, by adulthood, the dendritic arbors of a single morphological class, viewed *en face*, have a mosaic coverage of the retina, whereas viewed in cross-section they are restricted to a distinct plane within the inner plexiform layer (Fig. 3; 31). The details of the anatomical and molecular events surrounding dendritic elaboration are best understood in the cat. After ganglion cells are born (E21 onward; 16,32), they extend a proximal axon, perhaps while the vitreal endfoot is still attached (as in marsupial 33; chick, 34). Dendrites emerge subsequently from the opposite pole to the axon (35). Dendritic elaboration is concomitant with a variety of anatomical and physiological events, summarized in Fig. 4 (16,36–40). Perhaps most significantly for development, “horizontal” synaptic connectivity develops before the “vertical” connections that transmit visual information in the adult. Synapses between ganglion cells and amacrine cells are apparent by E56, but it is likely that these two cell types have already been electrically coupled by gap junctions (41). In the ferret, gap junctions facilitate waves of correlated activity within the developing retina (42,43), which are mediated by fluxes in intracellular calcium (44). This spontaneous activity requires voltage-gated sodium channels but is not dependent on exogenous glutamate from afferent circuitry. In the cat, “vertical connections” (ribbon synapses from afferent bipolar cells) are not made until at least the day of birth (32,45).

Definitive dendrite cell classes are established some time prior to this, at the end of the period of naturally occurring cell death in the ganglion cell layer (E60; 46,47). These two events are correlated in a number of species (29) and may even be functionally related. Cell death might eliminate indeterminate morphologies leaving only the more stereotyped dendritic forms. Alternatively, a reduction in ganglion cell density could potentiate innate

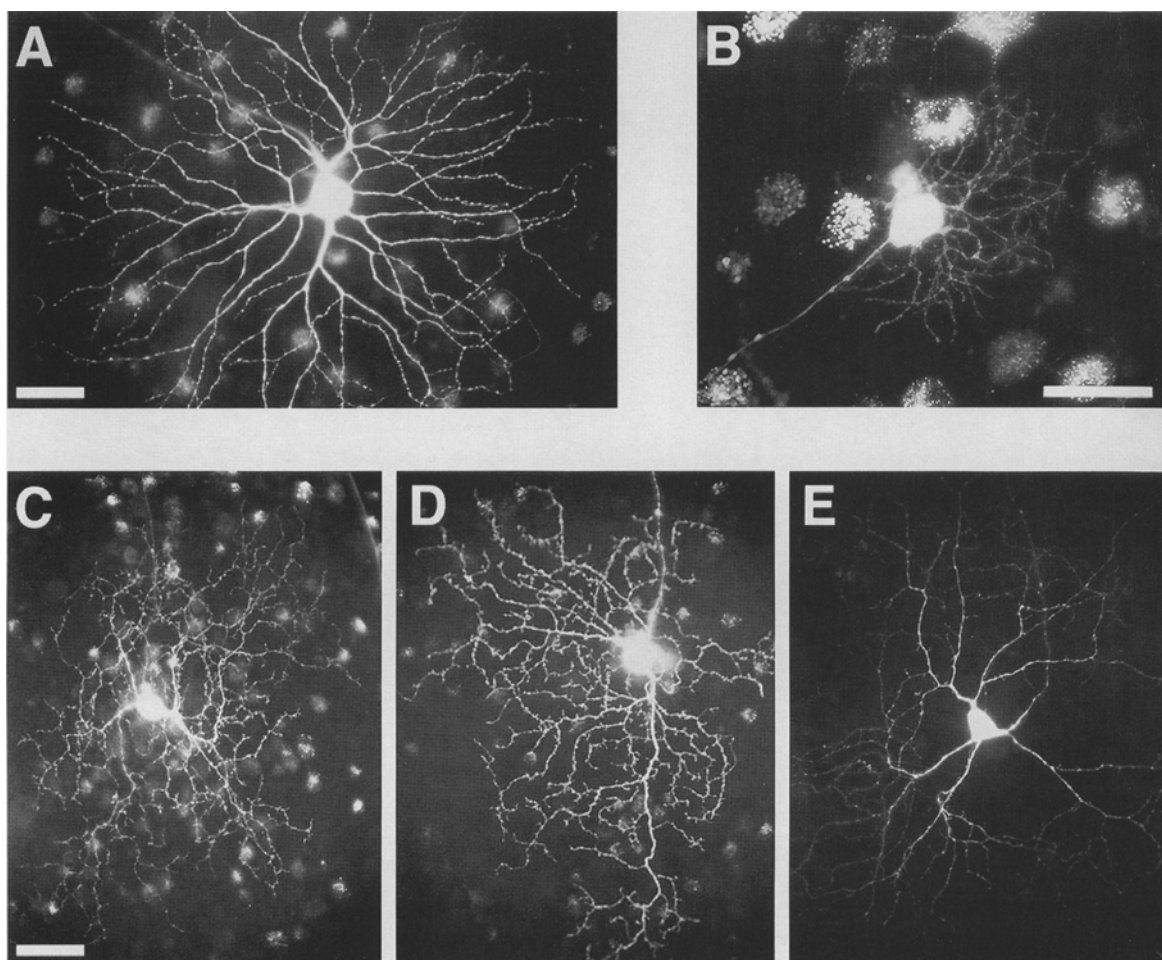


Fig. 1. Morphological types of RGC in the ferret retina (12). Cells were intracellularly filled in vitro in fixed retina, with the fluorescent dye lucifer yellow under direct visual control. Cell bodies of ganglion cells were preidentified by retrograde label (fluorescent microspheres) previously injected in vivo into subcortical visual nuclei. There are three main classes of carnivore dendritic morphology,  $\alpha$  (A),  $\beta$  (B), and  $\gamma$ . The  $\gamma$  class can be further subdivided into three main variants; "tight" (C), "loose" (D), and "sparse" (E), each shown to the same scale. Neighboring  $\alpha$  and  $\beta$  cells can also be distinguished by their characteristically different soma sizes. Mosaics of congruous dendritic fields have been demonstrated for  $\alpha$  and  $\beta$  cells in the cat (13). These two classes of cell correspond to the physiologically defined "Y-" and "X-" cell classes, which have transient and sustained responses to changes in light intensity, respectively (14). Each class is composed of both ON- and OFF-cells, responding to increases and decreases in illumination, respectively, which would be expected to have separate, structurally distinct mosaics (see Fig. 2). Scale bars = 50  $\mu$ m.

dendritic growth patterns by reducing "competitive" interactions for synaptic "space" within the retina.

Subsequent phases of dendritic segregation and dendritic remodeling involve modifications to the dendritic arbors that become apparent at this stage. The extent to which intrinsic

mechanisms are involved in these later modifications is unclear. Although various observations suggest this latter phase of dendritic development is directed by extrinsic mechanisms, the possibility that extrinsic factors act only to initiate intrinsic programs of dendritic remodeling can not be excluded (9).

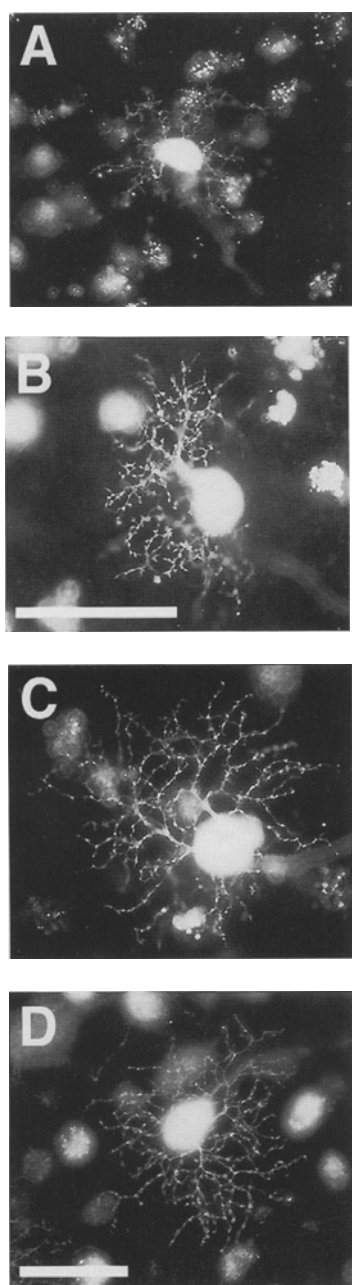


Fig. 2. The development of dendritic morphology. Photomicrographs of lucifer-yellow-filled ganglion cells show the maturation of  $\beta$  dendritic trees in the ferret (30). The distinct branching geometry of this cell class emerges between P5 (A) and P9 (B, both to the same scale). From this stage onward there is progressive dendritic elaboration and exuberant growth. At the peak of complexity when the eyes open at P32 (C), dendrites are elaborated with numerous small spines. Adult dendritic arbors (D, to the same scale as C) have considerably fewer branches and spines. Scale bars = 50  $\mu$ m.

### ***Extrinsic Afferent Determinants of Dendrite Growth***

Each RGC class is believed to form a complete and independent mosaic of congruous dendrite fields (Fig. 3). In such a view, the individual cells that compose each separate, overlapping mosaic have similar response properties and tile the retina in such a way that there are no holes in visual space (13). Cells of the same class, sharing the same mosaic, vary only in the spatial extent of their dendritic fields, and then in a way that is systematic and corresponds to regional variations in visual acuity across the retina.

Such a model suggests various anatomical constraints on the growth of individual ganglion cell arbors and has raised some important developmental questions. First, how do the dendrites grow to occupy a single sublamina of the inner plexiform layer (dendritic sorting)? Second, how do neighboring dendritic arbors become spatially organized into continuous sheets that can be both congruous and yet accommodate changes in ganglion cell density at various retinal locations? In both cases, interactions between developing dendrites and afferent retinal circuitry have been invoked to at least partly explain dendritic developmental refinement.

#### *Dendritic Sorting:*

##### *How Do Functional Laminae Arise?*

The stratification of a ganglion cell's dendritic arbor within the inner plexiform layer determines whether it will respond to the onset (ON) or offset (OFF) of a visual stimulus (Fig. 3C). This functional layering arises because of the different levels at which afferent ON and OFF bipolar cells terminate (48). The functional coherence of a ganglion cell's response hence depends on developing a precise dendritic stratification pattern within one sublamina. This organization appears only relatively late, over the period in which bipolar-ganglion cell synapses are formed (P2–5 in the cat; 37). Bodnarenko and Chalupa (8,9) have recently investigated factors that might regulate its

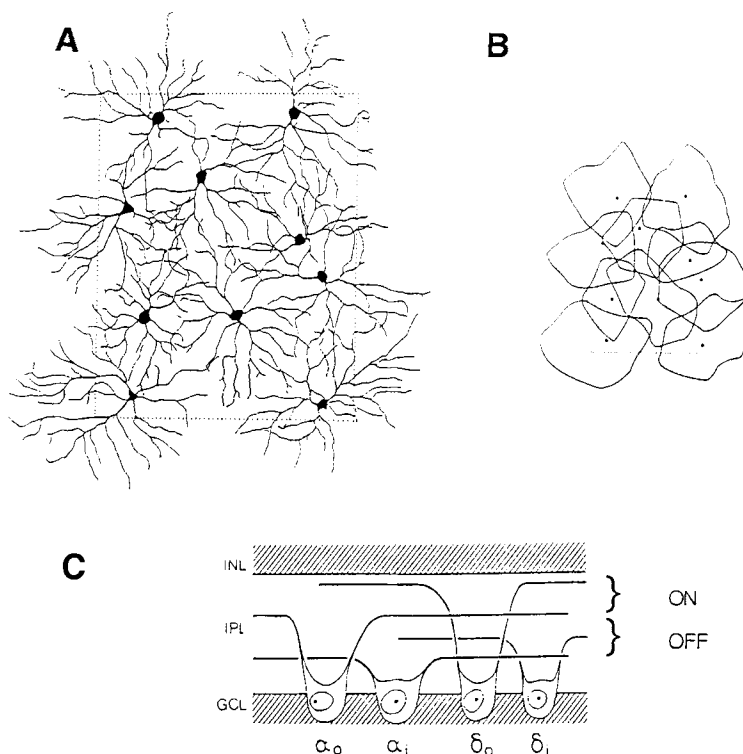


Fig. 3. The principle of dendritic mosaics and lamination as demonstrated in rat  $\alpha$  RGCs (taken from ref. 31). (A) Reconstructions of all the outer (OFF)  $\alpha$  ganglion cells within a particular retinal field. (B) The dendritic territories of these cells are shown in outline and cover the retina such that there are no holes in "visual space." (C) The relative displacement of cell bodies and dendritic fields shown to scale in cross-section through the retina. The lamination of inner and outer  $\alpha$  cell dendrites ( $\alpha_i$  and  $\alpha_o$ ) is contrasted with that of the "delta" cell class, which also has ON- and OFF-responsive cells ( $\delta_i$  and  $\delta_o$ ). The dendrites of each morphological and functional class lie in different layers within the ON and OFF sublaminae of the inner plexiform layer (IPL). The IPL is sandwiched between the ganglion cell layer (GCL) and the inner nuclear layer (INL).

development in the carnivore. Inhibiting glutamate release from the afferent bipolar cells by chronic intraocular injection of APB (2-amino-4-phosphonobutyric acid) reversibly blocks the segregation of the dendrites of the dominant  $\beta$  RGC class. It is not clear whether bipolar terminals themselves also segregate during this period. At this age, the eyes are still closed and the photoreceptor-bipolar synapse is immature (32,45). Segregation is hence driven by a constitutive release of glutamate from bipolar cells: A postsynaptic blockade of spontaneous activity within the ganglion cell layer does not prevent segregation (49), suggesting that all the cues for dendrite segregation are generated within the inner plexiform layer. In some spe-

cies, the axons of ON and OFF ganglion cells form layers at the target through a glutamate-mediated sorting process. This happens as dendritic segregation is almost complete (50) and is downstream of events in the retina. Axonal sorting is probably driven by the onset of subtle differences in patterns of correlated spontaneous activity between ON and OFF mosaics that emerge as dendrites become functionally segregated (51).

Within ON and OFF sublaminae in the inner plexiform layer, different dendritic classes may further stratify to occupy different levels (Fig. 3C). This independent stratification of cell classes was described by Cajal (52), yet little attention has been paid to this aspect of gan-

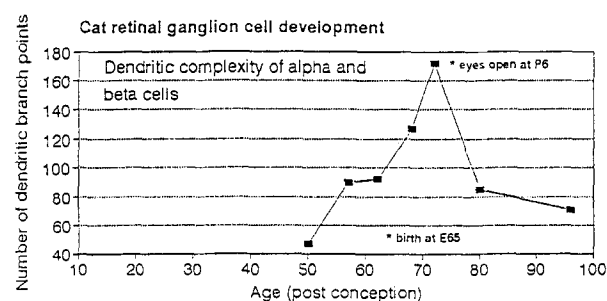


Fig. 4. The graph summarizes key events in the development of cat RGCs. Since the maturation of the cat retina is not uniform (16,36) some of these dates are approximate. Age is given in days post-conception. Cats are born on E65 (P0). The information shown was taken from a variety of sources (32,37–40). E21, first RGCs are born; E30, one-third of RGCs are electrically active; E55, all RGCs electrically active; E56, first RGC-amacrine synapses; E60, end of period of cell death; P1, first ribbon synapses (bipolar); P2–5, dendritic segregation; P7, dendritic remodeling.

gion cell development. In addition to their broad subdivision into ON and OFF subtypes, different dendritic classes of ganglion cell receive inputs from different afferent pathways. For example, in the cat,  $\beta$  cells synapse mainly with bipolar cells directly, whereas  $\alpha$  cells receive the majority of bipolar signals via amacrine cells (53). For animals with trichromatic color vision, color-sensitive ganglion cells receive their dominant (center) input from the axons of only one of the three different types of bipolar cell (54,55). It is unclear whether class-specific dendritic segregation is a functional correlate of this level of synaptic organization (as for ON and OFF pathways). If so, dendrite stratification might be a strategy for the development of specific connectivity given the way that neighboring ganglion cells are transiently electrically coupled. Morphologically distinct  $\alpha$  and  $\gamma$  cells of the carnivore retina are dye-coupled to like neighbors and to amacrine circuitry (ferret; 41,44) during the period of dendritic segregation (51; Wingate and Thompson, unpublished observations).  $\beta$  cells, which have direct bipolar synaptic input, are not. Gap junctions might

allow other cell classes to organize their respective dendritic sublaminae and relatively more complex circuitry with respect to this simpler  $\beta$  cell dendrite “template.” This would be analogous to the way that RGC axon classes become segregated at their target through an activity-dependent mechanism (56–58).

#### *Dendritic Competition:*

##### *Making Congruous Mosaics*

The uniform spatial array of polyhedral dendritic arbors that compose each mosaic ensures that the visual field is sampled at every point. The development of a continuous sheet of dendritic processes places special requirements on dendritic growth. If a ganglion cell class has a relatively more tightly packed mosaic, its cells have smaller dendritic trees. In addition, dendritic field sizes respond to differences in ganglion cell density within the retina that are high centrally and low at the periphery. This optimal tiling virtually predicts developmental interaction between neighboring dendritic trees (13). Investigations into the degree to which cells interact within or between classes and how such an interaction might be regulated have demonstrated that “dendritic competition” (59) has an important role to play in making congruous mosaics.

Dendritic competition was first demonstrated by making focal retinal lesions. Dendritic growth in surrounding RGCs is oriented toward this cell-depleted region (59,60). An effect can be induced over several dendritic field diameters, suggesting that dendritic interactions are regulated trophically rather than by contact inhibition (61). The response of cells of one class can be moderated by the presence of other ganglion cell classes, indicating that competition is not class-specific (62). Global changes in ganglion cell density, induced by extraretinal lesions, have the expected results within this competitive framework. Increasing ganglion cell density by prenatal monocular enucleation (63) results in smaller dendritic arbors at a given retinal eccentricity. Similarly, decreasing ganglion cell density and hence

competitive constraints by pathway lesions (optic tract in cat; 64; superior colliculus in rat; 65), result in larger dendritic arbors. Again, there is some evidence that different classes can compete with each other for retinal territory (66).

As a phenomenon, dendrite competition is an attractive explanation for the even dendritic tiling of the retinal surface, the orientation of dendritic fields where maturational gradients across the retina are particularly steep (67,68), and the evolutionary flexibility that allows closely related species to accommodate sharp, adaptive changes in ganglion cell distribution that may accompany visual specialization (69,70). However, the molecular basis of dendritic competition is still unknown. As yet, there is no direct evidence for a proposed afferent-derived growth factor, such as BDNF (61,71), in mediating this phenomenon. Growth factors are, however, not the only candidate molecules for directing dendritic competition. Recent insights from other systems suggest that neurotransmitter receptor activation may influence dendritic growth in vitro (72) and in vivo through both inhibitory (73) and *N*-methyl-D-aspartate (NMDA)-gated channels (74). Neurotransmitters can act as trophic factors, orienting the growth of neurites in culture (75). Glutamate, which is present in the retina during the emergence of distinct dendritic morphologies (76,77), is known to have a powerful, calcium-mediated affect on neurite outgrowth (78,79). However, since glutamate blockade does not result in unusual dendritic arbor size or orientation (9), a direct role in dendritic competition would appear to be excluded. Another candidate is acetylcholine, which is released by amacrine cells and which can directly influence calcium concentrations within developing RGCs (80), potentially influencing dendritic form. The response of relatively distant dendrites to a focal lesion (61), which have suggested a diffusible afferent-derived growth factor, might partly reflect focal changes in intracellular calcium flux, spreading to more distant cells via gap junctions (44).

### ***Extrinsic Retrograde Determinants: Dendritic Remodeling***

Dendritic segregation and competition both appear to take place within a framework of afferent-derived extrinsic regulation. By comparison, less is known of either the functional significance or the regulation of the phase of dendritic remodeling, which appears to be common to the development of all mammalian RGCs (cat; 39,40,81,82; rat; 83; hamster; 84; ferret; 30). The acquisition of spines and the excessive branching of dendritic arbors prior to eye opening (*see also* refs. 35,85–87) leads naturally to speculation that spines might be “reaching out” for potential afferent synapses (88). Spines are retracted and dendritic branches pruned after synapses are formed. However, spines are not the site of synapse formation (89) and several studies have indicated that dendritic remodeling is autonomous of retinal conditions: Manipulating ganglion cell density has no affect on spine loss (90). Although NMDA receptor blockade may delay spine retraction (by chronic application of 2-amino-5-phosphonovaleric acid [APV]; 91), altering ganglion cell activity, by either dark-rearing (92) or tetrodotoxin (TTX) application (49), has no appreciable affect on overall dendritic remodeling (*see also* ref. 10). This is despite the observation that in a number of species the onset of remodeling correlates with eye opening and the onset of patterned visual input (Fig. 3; 29).

What, then, is the role of remodeling and what is the significance of eye opening in its regulation? Remodeling may be related to processes of dendritic segregation and competition: In cat, ganglion cell dendrites remain “reactive” to changes in cell density during the prolonged phase of dendritic resculpting (60). However, an additional insight into this question is that remodeling is selectively enhanced in RGCs that display axonal targeting errors (84). The selective enhancement of the severity of pruning in aberrantly projecting cells can be blocked by either creating more space at the target nucleus or by dark-rearing. Although activity itself is not required for dendritic

remodeling (49), the degree of resculpting may involve an "awareness" of targeting accuracy, which relates to both axonal crowding and patterns of activity at the target. Axonal remodeling precedes that of dendrites, suggesting that any retrograde response is a reflection of established axonal arborization patterns.

Although such a mechanism in aberrant cells is useful in downregulating visually anomalous ganglion cell activity, why are there regressive events in all ganglion cell dendritic arbors? One explanation is that remodeling is an intrinsic program that allows RGCs to average synaptic input between neighboring cells. Activity is used to compare their output at the target and promote differential retrograde responses. Where the discrepancy between a cell's output and that of its neighbors is large, such as for those cells that make targeting errors, the severity of dendritic remodeling in that cell increases. All ganglion cell dendrites undergo remodeling; retrograde signals modulate only its degree. Hence, blocking activity has no significant effect on overall levels of dendritic pruning (49), but rather rescues the dendritic arbors of a very small minority of aberrant cells (84). In primate, for example, where the percentage of aberrant axons is very small, whereas remodeling is a prominent feature of dendritic development, only a very few cells would undergo extreme dendritic resculpting.

There are few precedents for the retrograde modulation of dendritic morphology in central neurons. Clarke and colleagues have shown that the orientation of dendritic fields in the isthmo-optic nucleus of the chick can be influenced by a retrograde, activity-dependent signal during development (93,94). However, whether alignment arises by pruning of more exuberant arbors is unknown. Recently, Rand and Breedlove (95) have shown that the dendritic morphology of adult spinal motor neurons is sensitive to steroid levels at their axonal target.

The best example of retrograde modulation comes from the PNS, where target size directly correlates to the degree of dendritic elaboration of superior cervical ganglion neurons (11,96). This observation has led to a concept of "trophic linkage," whereby quantitative dendritic extent

of a population of input cells is regulated by a limited supply of a target factor (96). For RGCs, and perhaps other central neurons, dendritic remodeling might depend on the supply of a target-derived factor, but this supply is moderated by activity-dependent interactions between an axon and its neighbors at the target.

## Conclusions

As a model of neuronal development, RGCs have generated a wealth of studies of both their axonal and dendritic form. For dendrites, the laminar, mosaic organization of their arbors has allowed various developmental predictions to be made and tested. In particular, there has been some exploration of the phenomenon of dendritic exuberance followed by refinement, which is common to the development of many neurons. A rough hierarchy of developmental influences is emerging. Intrinsic determinants related to birth date and the retinal microenvironment establish basic dendritic geometry and a growth program that is influenced by various afferent and retrograde extrinsic factors. Dendritic growth and the formation of mosaics are regulated by intraretinal neighbor interactions in "competition" for an afferent-derived factor (perhaps glutamate or acetylcholine). Dendritic segregation into functional laminae is driven by an NMDA-mediated mechanism during a period when synaptic connections to afferent bipolar cells are established. Ganglion cells undergo an intrinsic program of dendritic remodeling after eye opening, the degree of which is influenced by activity-dependent target interactions. These may ensure a consistent synaptic input to individual dendritic arbors across the retinal mosaic of each cell class.

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